



## UKRAINE

### **National Research Foundation of Ukraine (hereinafter referred to as the NRFU)**

**Applicants are strongly advised to read national regulations carefully and to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application. If any problems are revealed, please contact your National Contact Point.**

#### **I. GENERAL PROVISIONS**

This National Rules of Funding Parties (hereinafter referred to as the NRFP) as the integral part of the LUKE Implementation Agreement and LUKE Terms of Reference is altogether the basis for the NRFU's competitive selection of projects (as part of LUKE competitive selection) while setting forth the more specific and operational procedures. The provisions hereof apply to the NRFU's competitive selection procedure directly and to the Ukrainian partners only.

#### **Call topics and sub-topics of the LUKE Joint Call that can be supported by the NRFU:**

1. Energy: Sustainable and renewable energy and energy security
2. Cybersecurity: Cyber resilience of critical infrastructures and adaptive cybersecurity systems
3. Medical and health research: Telemedicine, biomedical research
  - 3.1: Adoption of telemedicine for remote healthcare solutions
  - 3.2: Innovative research on cellular signaling pathways for biomedical applications
4. Social sciences and humanities: Social reconciliation, sustainable social development, and human capital restoration

**Projects to be carried out with the support of the NRFU may be basic/applied research (developments) projects only.**

#### **Duration of the projects to be selected based on the results of the LUKE Joint Call:**

The projects to be funded by the NRFU are expected to be implemented in 2027-2028 (*subject to the availability of appropriate budget allocations\**).

Project implementation involves two stages:

- the first stage of project implementation (tentatively 9 months, March-November 2027);



- the second stage of project implementation (tentatively 9 months, March-November 2028).

The end of each stage correlates with the end of the respective budget year. Implementation of projects in 2027-2028 will be carried out taking into account and in accordance with budget allocations to the NRFU in the respective year.

*\*Planning the duration of the project implementation stages shall be guided by the deadlines for processing the reporting documentation provided by the grantee to confirm the completion of each stage of the project (14 calendar days from the date of completion of each stage of project implementation). The duration of the project implementation stages planned in the proposal may be adjusted at the time of the conclusion of the National Contract (Grant Agreement), taking into account and in accordance with budget revenues in the respective year).*

## II. REQUIREMENTS TO APPLICANTS\*

\*The requirements are formulated in accordance with the [Agreement on Reforming Research Assessment](#)

**Ukrainian Applicants shall follow this NRFP in order to verify the researchers' eligibility, thus avoiding the ineligibility of a consortium as a whole.**

### Who can apply?

Each project consortium may include no more than one Ukrainian **legal entity (project consortium partner, hereinafter referred to as partner)** to be funded by the NRFU.

In order to be eligible for funding under the LUKE Joint Call, a partner shall comply with the terms and conditions presented in the NRFU project regulations such as listed below.

- A partner (legal entity) may be an institution of higher education (in accordance with the meaning of the Law of Ukraine 'On higher education') or a research institution (in accordance with the meaning of the Law of Ukraine 'On scientific and scientific-technical activities') of any legal form and form of ownership registered and functioning in Ukraine according to the national legislation. The institution of higher education or a research institution shall meet the terms and conditions of this Joint Call, and that has expressed a desire to participate in the LUKE Joint Call, and has jointly submitted the proposal and documents required for participation in the LUKE Joint Call with other partners, who agree to conduct research on their own premises, as well as to approve the related research results.



- Legal entities of any legal form and form of ownership whose founders, beneficial owners and participants are citizens of the Russian Federation and the Republic of Belarus, as well as legal entities operating in the temporarily occupied territories of Ukraine, may not enter the LUKE Joint Call. The restriction on entry into this Joint Call also applies to legal entities subject to restrictive measures (sanctions) imposed by the National Security and Defense Council of Ukraine.

In order to be eligible for funding under the LUKE Joint Call, a **Ukrainian researcher (author of the project, member of the Ukrainian research team)** shall comply with the terms and conditions presented in the NRFU project regulations such as listed below.

- The PI (leader of the Ukrainian research team) shall have a research degree obtained (at least 5 years before the date of the proposal submission) or recognized in Ukraine (obtained at least 5 years before the date of the proposal submission) and work **at the main place of work** in the institution (organization, enterprise) which is a partner to the project consortium. The PI shall also have scientific publications in periodicals, in particular those indexed by Scopus or WoS, and/or scientific monographs/monograph chapters in the relevant field of knowledge. Other Ukrainian researchers may work/study as postgraduate in the same or different institutions (organizations, enterprises). In the latter case, the Ukrainian researcher shall be involved in the project implementation on the contractual basis with the institution (organization, enterprise). It is allowed to involve support staff (up to 2 persons), including (but not limited to) higher education students, engineers, laboratory assistants in the project implementation.
- Other Ukrainian researchers (members of the Ukrainian research team) shall have a research degree (except for the ones who are early-career researchers enjoying the status of a postgraduate student) obtained or recognized in Ukraine, scientific publications in the field related to the project topic (except for the ones who are postgraduate students).
- Ukrainian researchers may not be employees of the NRFU's Directorate, members of the Scientific Council of the NRFU, members of the Supervisory Board of the NRFU, members of the NRFU LUKE project team, or reviewers involved in the scientific and technical review of projects under this Joint Call.
- The number of Ukrainian researchers allowed to participate in the LUKE Joint Call on behalf of the Ukrainian partner – from 3 to 5 persons including at least one early-career researcher (necessarily possessing a research degree obtained or recognized in Ukraine or enjoying the status of a postgraduate student) and at least 2 researchers possessing a research degree obtained (at least 5 years before the date of the proposal submission) or recognized in Ukraine (obtained at least 5 years before the date of the proposal submission).



- Ukrainian researcher under valid restrictive measures applied to him/her, as defined by the provisions of the Restrictive Measures that may be applied to project authors and/or project implementers whose grant support was terminated by the decision of the Scientific Council of the National Research Foundation of Ukraine due to improper implementation of the research and developments agreement and/or based on the results of the quality assessment of project implementation and publication/dissemination of the results may not enter the LUKE Joint Call.
- When submitting a proposal for the LUKE Joint Call, all Ukrainian researchers shall comply with the Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine which include a section on the prevention of cooperation with representatives of the aggressor country and temporarily occupied territories.
- One individual cannot be represented in more than one proposal submitted to the LUKE Joint Call. The PI and other members of the Ukrainian research team may be implementers of another successful project under another call of the NRFU, the funding of which is ongoing or has not started due to the incomplete procedure for concluding an agreement with the grantee. At the same time, the PI cannot be presented in the proposal for this Joint Call if he or she is already the PI of a project that was successful in another call of the NRFU, the funding of which is ongoing or has not been started due to the incomplete procedure for concluding an agreement with the grantee.
- The restriction on entry into this Joint Call also applies to persons (researchers) subject to restrictive measures (sanctions) imposed by the National Security and Defense Council of Ukraine.

### **III. PROJECT FUNDING**

This section of the NRFP applies only to the Ukrainian part of the project's budget. The NRFU funds only the Ukrainian part of the project's budget when the other national partners' budgets are funded by and according to the regulations of the other respective Funding Parties.

The total amount of funding for the Ukrainian partners under the LUKE Joint Call is up to UAH 100 mln, namely:

- up to UAH 50 mln in 2027, and
- up to UAH 50 mln in 2028.



The sums above are calculated subject to the availability of appropriate budgetary allocations and may be adjusted by the Scientific Council of the NRFU, taking into account the risk of possible redistribution of budgetary funds and the risks associated with the martial law in Ukraine.

The maximum total amount of funding a Ukrainian partner for one project is up to UAH 4 mln (up to UAH 2 mln in either of the two stages of project implementation).

The terms and procedure for funding projects depend on the amount of budget allocations provided in the state budget for the respective year to finance the activities of the NRFU.

Projects are funded by the NRFU's gradual transfer of funds from its registration account to the grantee's account in accordance with the National Contract (Grant Agreement) concluded between the NRFU and the successful partner (grantee), in accordance with the Calendar Plan and the Schedule of Financing the research project, within the limits of the funding actually received by the NRFU.

Unused and/or saved funds during the relevant intermediate stage of the project implementation shall be refunded to the NRFU registration account within seven working days after the completion of the respective intermediate stage of the project.

All costs for each stage of project implementation must be incurred before the completion of the respective intermediate stage of project implementation.

### **What costs are ineligible for funding?**

Grant funds cannot cover:

- payment for intermediary services;
- preparation of a project proposal for the LUKE Joint Call;
- purchase of goods, performance of works, provision of services not related to the implementation of projects;
- payment for goods, works, and services that have been or will be financed from other sources, before or after the signing of the National Contract (Grant Agreement);
- expenditures for the maintenance of the institution (organization, enterprise) participating in the project that exceed the ceiling for Indirect Costs (15% of Direct Costs within each stage of project implementation and the project as a whole);
- payment for activities that go beyond the planned tasks and objectives of the project or grant budget;



- purchase of stationery, office equipment and supplies, office computers, multimedia and copying equipment, cartridges, drives, etc.;
- purchase, modernization, upgrading, and maintenance of personal computers, laptops, printers, scanners, other office equipment, furniture, as well as office equipment and devices, etc.;
- purchase of equipment and facilities manufactured or supplied by an enterprise/organization included in the sanctions lists in accordance with the decision of the National Security and Defense Council of Ukraine;
- insurance, operating lease of premises and of other non-current assets for common operational purposes;
- expenses related to exchange rate fluctuations, as well as any fines, penalties, compensation for losses (penalties, court costs incurred as a result of breaches of contractual obligations, etc.);
- interest or other payments on debt obligations;
- VAT and other taxes that may be compensated by the state;
- payment for mobile communications, internet, and telephony;
- commercialization of research results and launch of mass production;
- currency risk insurance;
- remuneration and accruals for the personnel, in particular, employees of scientific and organization services, financial services, legal services, HR services, procurement department, etc. who will be involved in servicing the Grant Agreement, exceeding 5% of the “Direct Costs” item within each stage of the project and the project as a whole;
- reserves to cover potential losses or future liabilities;
- expenses financed by investments and/or government assistance and/or funds from other programs;
- other monetary obligations that arose prior to receiving the grant;
- expenses for the production of advertising materials used to promote goods, services, ideas, or companies.

### **What types of costs are eligible for funding?**

Under this Joint Call the NRFU grant funds may be allocated to the following cost items:

- Direct costs:
  - labor remuneration;
  - payroll accruals;
  - materials required for the performance of works, except for equipment and facilities (if required);



- minor equipment and facilities (up to UAH 200,000 in total per a project) if required;
- business trip expenses (if required).
  
- Indirect costs  
The total amount of indirect costs (costs that are not directly related to project's implementation, but are necessary to ensure the full implementation of the project) cannot exceed 15% of Direct Costs within each stage of project implementation. Among others, such costs may include remuneration and accruals for the personnel, in particular, employees of scientific and organization services, financial services, legal services, HR services, procurement department, etc. who will be involved in servicing the Grant Agreement. Such expenses may not exceed 5% of the total amount of the 'Direct costs' item within each stage of project implementation and the project as a whole;
- Other costs – costs that are not reflected in the above-mentioned cost items, but are necessary for project implementation, in particular, costs of services. This cost item may include costs for:
  - publications;
  - obtaining patents;
  - access to research equipment for research and scientific and technical (experimental) developments;
  - purchase of software;
  - organizational fees for participation in scientific conferences and other scientific events;
  - access to databases, libraries, etc.

When forming the project budget, Ukrainian partners shall follow the 'Methodological recommendations for Planning the Estimated Cost of the Project for the Implementation of Research (Developments) at the Expense of the Grant Support of the NRFU' (which is an annex hereto).

**Expenses under all items must be justified.**

The project budget estimate shall indicate the employment (in months) of the PI and each project implementer.

**IV. Specific national rules for the proposal and project implementation beyond the general rules in the Terms of Reference of the LUKE Joint Call**



- Projects must be carried out in priority areas of science and technology development defined as such by Ukrainian law at the time of the competitive selection of relevant projects.
- During the implementation of the project, the PI and project implementers shall be expected to be based and working in Ukraine. They may work in foreign institutions with monetary remuneration (compensation) for no more than three months in total per year and during this time cannot receive remuneration or other payments from the NRFU's grant funds. In the event of such circumstances, the PI shall inform the NRFU of the terms and conditions of such work.
- When implementing the project, all Ukrainian researchers shall comply with the Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine which include a section on the prevention of cooperation with representatives of the aggressor country and temporarily occupied territories.
- Intellectual property rights created in the course of research at the expense of grant support from the NRFU belong to the grantee and/or project implementers, except for the cases provided for in part two of Article 11 of the Law of Ukraine 'On State Regulation of Activities in the Sphere of Technology Transfer'.
- Grantees must take all available measures to promote the project to the general public and the results obtained during its implementation.
- The fact that the Ukrainian part of the joint project selected under the LUKE Joint Call is funded by the NRFU at the expense of the state budget, the project ID and its title **shall be indicated** in all publications, official announcements, media coverage, social media, other open sources, and in presentations speeches of research results at scientific events.
- Project implementers cannot work in a foreign institution at their main place of work.
- A project submitted for participation in this Joint Call cannot be simultaneously submitted for participation in another call to be funded. Nor may a project be submitted for participation in this Joint Call that is or has been funded from any other source.
- The PI is responsible for the accuracy of the information contained in the proposal and the documents attached to it.
- The consequence of providing false information is the withdrawal of the proposal from consideration in the course of the entire competitive selection period.
- Proposals which contain information that constitutes a state secret (in accordance with the Law of Ukraine 'On State Secret') shall not be accepted for consideration at the LUKE Joint Call.



The fact of the absence of information constituting a state secret in the proposal materials shall be indicated in the consent of the partner, signed by its head (which is annex hereto). The head of the partner is responsible for the inclusion of information constituting a state secret in the proposal materials.

### Documents for participation in the LUKE Joint Call

Documents to be submitted by a Ukrainian partner together with the proposal (the method of submitting a proposal and its mandatory components are specified in the LUKE Terms of Reference) for the NRFU's eligibility check:

- Consent of the project authors to its implementation (in Ukrainian in accordance with the approved form, which is an annex hereto));
- Consent of the partner to the implementation of the project signed by the head of the institution (organization, enterprise) (fact of the absence of information constituting a state secret in the proposal materials must be indicated in this consent) (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Certificate from the place of work of the PI (partner leader) with a **mandatory** indication that he/she works at the main place of work in the partner institution (organization, enterprise) in Ukrainian in accordance with the recommended form, which is an annex hereto. NOTE: the certificate must contain the phrase '**AT THE MAIN PLACE OF WORK**';
- Statement signed by the head of the partner institution (organization, enterprise) regarding the participant's compliance with paragraph 5 of the criteria for assessing the admissibility of state aid to business entities for research, technical developments and innovation activities, approved by the Decree of the Cabinet of Ministers of Ukraine No. 118 of February 7, 2018 (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Every researcher's CV in English in accordance with the approved form, which is an annex hereto;
- Terms of Reference for the research (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Calendar Plan (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Amount of funding for individual cost items with economic justification of the estimated cost of the project (in Ukrainian in accordance with the approved form, which is an annex hereto).

Documents to be submitted in paper (originals, verified by signatures and seals) to the NRFU together with the signed National Contract (Grant Agreement) by the successful Ukrainian partner:



- Consent of the project authors to its implementation (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Consent of the support staff (if applicable) to implement the project (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Consent of the partner to the implementation of the project signed by the head of the institution (organization, enterprise) (fact of the absence of information constituting a state secret in the proposal materials must be indicated in this consent) (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Certificate from the place of work of the PI (partner leader) with a **mandatory** indication that he/she works at the main place of work in the partner institution (organization, enterprise) in Ukrainian in accordance with the recommended form, which is an annex hereto. NOTE: the certificate must contain the phrase '**AT THE MAIN PLACE OF WORK**';
- Statement signed by the head of the partner institution (organization, enterprise) regarding the participant's compliance with paragraph 5 of the criteria for assessing the admissibility of state aid to business entities for research, technical developments and innovation activities, approved by the Decree of the Cabinet of Ministers of Ukraine No. 118 of February 7, 2018 (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Every researcher's CV in English in accordance with the approved form, which is an annex hereto;
- Terms of Reference for the research (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Calendar Plan (in Ukrainian in accordance with the approved form, which is an annex hereto);
- Amount of funding for individual cost items with economic justification of the estimated cost of the project (in Ukrainian in accordance with the approved form, which is an annex hereto).

## V. ELIGIBILITY CHECK (NATIONAL CHECK FOR COMPLIANCE WITH FORMAL REQUIREMENTS)

The eligibility check (national check for compliance with formal requirements) of proposals is carried out in two stages: preliminary and final. The preliminary check is carried out within 10 working days from the moment the proposal and the documents are received by the NRFU from the JCS.

If, during the preliminary check, it is established that the documents submitted by the applicant do not contain the information specified in this NRFP as an integral part of ToR for the LUKE Joint Call, the NRFU shall notify the applicant by electronic means of communication of the need to submit the clarified information within three working days from the date of notification.



After the deadline for submitting clarified information, the NRFU shall, within 10 working days, conduct a final check of the documents submitted by the applicant for compliance with formal requirements, taking into account such information.

Within five working days after the deadline for the final check of proposals for compliance with formal requirements, the NRFU shall notify the JCS in electronic form of the proposals that have been found eligible and ineligible.

Eligible proposals shall be forwarded to external reviewers for scientific and technical assessment. The number of external reviewers conducting the scientific and technical assessment, as well as criteria for the evaluation of projects, deadlines for submission and review of proposals, conducting scientific and technical assessment and summarising the results of the LUKE Joint Call are specified in the LUKE Terms of Reference.

**Grounds for non-eligibility of proposals based on the results of the NRFU's check for compliance with formal requirements:**

- absence of partner's documents listed in this NRFP;
- absence or incomplete provision of information in the documents submitted by the partner in accordance with this NRFP;
- inconsistency of the information provided in the proposal (and documents submitted together with it) with the requirements to the qualification and status of the PI and other project authors set forth in this NRFP, including the fact that the researchers (except for postgraduate students in case the confirmation of the researcher being a postgraduate student is provided) have a research degree obtained or recognized in Ukraine;
- inconsistency of the research group with the requirements to its composition;
- the Project PI and/or other researchers is/are included in more than one proposal submitted to the LUKE Joint Call;
- the Project PI is already a PI of a winning project in another call of the NRFU, the funding of which is ongoing or has not started due to the pending procedure of concluding a grant agreement with a grantee;
- the Project PI and other researchers (except the support staff) are the implementers of more than one successful project in another call of the NRFU, the funding of which is ongoing or has not started due to the pending procedure for concluding a grant agreement with a grantee;
- execution of documents submitted for participation in the LUKE Joint Call in non-compliance with the procedure or form established by this NRFP or the requirements for execution of documents established by law;



- exceeding the maximum amount of funding specified in this NRFP for one project as a whole and for each stage separately;
- exceeding the ratio of indirect costs to total direct costs, as set by the terms of the NRFP, both overall and for each stage separately, from the permissible limit (which is 15% of direct costs);
- exceeding the recommended maximum level of remuneration for project implementers and support staff established by the NRFP, as specified in the Methodological Recommendations for Planning the Estimated Cost of a Project for Scientific Research (Development) Funded by a Grant from the Foundation for the LUKE Joint Call;
- exceeding the maximum ratio of personnel remuneration, in particular, for employees of research and organizational, financial, legal, human resources departments, procurement departments, etc. who will be involved in servicing the contract, to the total amount of direct costs and for each stage separately and a project as a whole, which is 5% of direct costs, as established by the NRFP;
- planning project work beyond the duration of the project provided for in this NRFP;
- violation of the requirements for restrictions on the participation in this Joint Call of persons and/or legal entities subject to restrictive measures (sanctions) of the National Security and Defense Council of Ukraine;
- the validity of restrictive measures applied to the PI and/or other researchers, as defined by the provisions of the Restrictive Measures that may be applied to project authors and/or project implementers whose grant support was terminated by the decision of the Scientific Council of the National Research Foundation of Ukraine due to improper implementation of the research and developments agreement and/or based on the results of the quality assessment of project implementation and publication/dissemination of the results;
- detection of false information in the proposal and documents submitted by the partner;
- inconsistency of the submitted project with the Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine.

Since the list of projects to be funded as a result of the LUKE Joint Call will be approved by written procedure by all Funding Parties, the Scientific Council of the NRFU **approves** the list after receiving it from the LUKE Joint Call Secretariat.

**After the funding decision** in the respective year has been taken the NRFU and the successful Ukrainian partner conclude **a National Contract (Grant Agreement)** which defines all the necessary terms and conditions for the implementation of the project, including the grantee's liability and the application of



penalties for failure to fulfill or delayed fulfillment of its obligations. The National Contract (Grant Agreement) shall be concluded in accordance with the indicative form which is an annex hereto.

## **VI. REPORTING**

After completion of each intermediate stage (besides the final one) of project implementation specified in the Grant Agreement, the grantee submits to the NRFU in electronic form and in one paper exemplar such documents in accordance with the structure and form approved by the Scientific Council of the NRFU: a report on the interim results of the project implementation, a financial report on the use of budget funds, and other documents specified in the National Contract (Grant Agreement). These documents are a prerequisite for making a decision on the continuation or termination of grant support of the Ukrainian partner. When assessing the results of the implementation of tasks of individual stages and overall results, publications that contain references to funding from various budget sources without a clear distribution of such funding according to the results obtained and the authors of the publication will not be taken into consideration. The NRFU monitors the grantee's fulfillment of the obligations specified in the Agreement, analyzes reports on the results of project implementation and the use of the funds allocated for their implementation.

The decision to continue or terminate grant support of the Ukrainian partner is made by the Scientific Council of the NRFU on the proposal of the Scientific Council of the relevant section of the NRFU. Approval of the documents specified in the previous paragraph is the basis for signing the Act on the implementation of the intermediate stage of the project implementation.

The NRFU shall notify the JCS of the Scientific Council's decision on the report — whether approved or not — within three working days, to enable further communication and the adoption of the corresponding decision.

The grounds for terminating the NRFU's grant support may include:

- a reasoned conclusion by the respective Scientific Council of the NRFU's section regarding a violation of the essential terms of the National Contract (Grant Agreement)
- a reasoned conclusion of the respective Scientific Council of the NRFU's section regarding the grantee's inability to implement the project;
- a reasoned conclusion of the respective Scientific Council of the NRFU's section regarding the loss of relevance of the expected results of the project for scientific, technological, or economic reasons;



- a decision by the respective Scientific Council of the NRFU's section regarding the misuse of funds by the grantee, adopted on the basis of a corresponding notification from the state financial control authority following the results of state financial control measures carried out at the grantee's organisation;
- in other cases which in accordance with the LUKE Terms of Reference cause overall impossibility of project implementation including termination of the joint project's part by another member of the project consortium as a result of a decision taken by another LUKE Funding Party.

If the NRFU finds that the grantee has violated the essential terms of the National Contract (Grant Agreement), the NRFU's Scientific Council shall decide to terminate the grant and require the grantee to return the funds, specifying the deadline for repayment in accordance with the terms of the National Contract (Grant Agreement).

If the NRFU detects signs of misuse of funds by the grantee in the course of project implementation, the NRFU Directorate shall inform the state financial control authority thereof so that such information can be taken into account when planning (conducting) state financial control measures.

If the grant recipient is found to have misused funds within the framework of the project, the amount of funds used for purposes other than those intended shall be returned to the NRFU in accordance with the procedure established by law.

In the event of termination of grant support by decision of the Scientific Council of the NRFU, a report on the interim results of the project implementation and a financial report on the use of the grant funds within the stage are posted on the official website of the Foundation within five working days after approval of the reporting documentation.

After the completion of the project, the grantee submits to the NRFU in electronic form and in one paper exemplar such documents in accordance with the structure and form approved by the Scientific Council of the NRFU: a final report on its implementation with a description of the research result obtained, a financial report on the use of budget funds (within the stage), a report on the use of the funds within the framework of the project implementation, and other documents specified in the National Contract (Grant Agreement). Information on the results of the project implementation (a final report and a report on the use of the funds within the framework of the project implementation) are posted on the official website of the Foundation for free access in the form of open data within five working days in accordance with the Law of Ukraine 'On Access to Public Information'. In the structure of the final report, the abstract is submitted in Ukrainian and English.

Approval of the documents specified in the previous paragraph shall constitute grounds for signing the Act on the implementation of the intermediate (final) stage of the project implementation.



The structure and forms of all reports mentioned in this section are established by the Scientific Council of the NRFU.

The authors of the project are personally responsible for the results of its implementation. If it is found that the declared research result does not correspond to the obtained one, the authors of the project may be deprived of participation in the calls of the Foundation for the next 2 years or another period based on the decision of the Scientific Council of the NRFU.

In the event of a breach of the terms of the National Contract (Grant Agreement), measures shall be taken against the grantee in accordance with the legislation.

Based on the results of the assessment of the quality of project implementation and the publication or disclosure of its results/in the case of grant support being terminated by decision of the Scientific Council of the NRFU due to improper fulfillment of the National Contract (Grant Agreement)/in the event of discovery of cooperation with representatives of the aggressor country and temporarily occupied territories - *Restrictive measures, which may be applied to authors of projects and/or implementers of projects whose grant support has been terminated by decision of the Scientific Council of the National Research Foundation of Ukraine due to improper fulfillment of the agreement for the performance of scientific research and development, and/or based on the results of the assessment of the quality of project implementation and publication/disclosure of results* **may be applied to the author of the project and/or its implementer by decision of the Scientific Council of the NRFU.**

In the event of a significant change in circumstances that may lead to non-fulfillment of the terms of the National Contract (Grant Agreement), in order to ensure the implementation of the project, such a contract may be amended prior to the completion of the intermediate stage of the project specified in the National Contract (Grant Agreement) in respect of the necessary conditions for its implementation within the specified estimated cost. The decision to change the necessary conditions for the implementation of the project shall be taken by the Scientific Council of the NRFU at the initiative of the grantee. The grantee shall send the NRFU proposals for amending the National Contract (Grant Agreement) with justification and supporting documents. The NRFU shall consider the proposals and provide the grantee with a response with the decision of the NRFU Scientific Council no later than 20 working days from the date of receipt of the proposals. If the NRFU Scientific Council makes a positive decision, an additional agreement shall be concluded with the grantee regarding changes to the conditions for the implementation of the project.

The NRFU monitors the practical implementation of the results of projects involving applied scientific research and scientific and technical (experimental) developments that have received grant support. Information on the results of monitoring is posted on the NRFU's official website in accordance with the procedure established by the NRFU.

### Upper funding limit and project duration

Upper funding limit: **UAH 4,000,000/project**

Project duration is **18 months (9 months in 2027 and 9 months in 2028)**.

### Further information relevant to applicants:

For the full NRFU regulations on research projects, see: <https://nrfu.org.ua/>

### Contact Point:

**Tetiana Beregova**

[beregovatd@nrfu.org.ua](mailto:beregovatd@nrfu.org.ua)

### ANNEXES

1. The form of the consent of the PI to implement the project ([download](#));
2. The form of the consent of the other authors to implement the project ([download](#));
3. The form of the consent of the support staff to implement the project ([download](#));
4. The form of the consent of the partner to the implementation of the project signed by the head of the institution (organization, enterprise) (with statement of the absence of information constituting a state secret in the proposal materials must be indicated in this consent) ([download](#));
5. The recommended form of the certificate from the place of work of the PI (partner leader) with a mandatory indication that he/she works at the main place of work in the partner institution (organization, enterprise) ([download](#));
6. The form of the statement signed by the head of the partner institution (organization, enterprise) regarding the participant's compliance with paragraph 5 of the criteria for assessing the admissibility of state aid to business entities for research, technical developments and innovation activities, approved by the Decree of the Cabinet of Ministers of Ukraine No. 118 of February 7, 2018 ([download](#));

7. The form of the researcher's CV ([download](#));
8. The form of the Terms of Reference for the research ([download](#));
9. The form of the Calendar Plan for the research ([download](#));
10. The form of the Amount of funding for individual cost items with economic justification of the estimated cost of the project ([download](#));
11. The indicative form of the National Contract (Grant Agreement) to be concluded between the NRFU and grantee ([download](#));
12. 'Methodological recommendations for Planning the Estimated Cost of the Project for the Implementation of Research (Developments) at the Expense of the Grant Support of the NRFU' (to the terms and conditions of the LUKE Joint Call) ([download](#));